

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vigania 22313-1450 www.usplo.gov APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/817,452

04/02/2004

019053.000112

Date Mailed: 06/21/2004

**CONFIRMATION NO. 1612** 

**FORMALITIES LETTER** 

\*OC000000013007998\*

27644 THOMPSON & KNIGHT L.L.P. PATENT PROSECUTION DEPARTMENT 98 SAN JACINTO BLVD., SUITE 1900 **AUSTIN, TX 78701** 11/09/2004 SSESHE1 00000073 10817452

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37) CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$86 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$986 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.



- Total additional claim fee(s) for this application is \$86
  - \$86 for 1 independent claims over 3.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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o a collection of information unless it displays a valid OMB control number. e Paperwork Reduction Act of 1995, no persons are required to re

## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 994.00 TOTAL AMOUNT OF PAYMENT

Spond to a consolion of into	THE HOLL CHICSO IT GIODICTO CE VOIIG CHILD CO	mayi namber.				
Complete if Known						
Application Number	10/817,452					
Filing Date	April 2, 2004					
First Named Inventor	Roger Minkow					
Examiner Name						
Art Unit						
Attorney Docket No.	019053.000112					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
✔ Check Credit card Money Other None	3. ADDITIONAL FEES							
Deposit Account:	Large Ent	tity <sub>1</sub>	Small	Entity				
Danceit		ee		Fee	Fee Description			
Account   20-0821		'' }	Code 2051	(\$)	Surphorno loto filing foo or ooth	Fee Paid 65.00		
Number Deposit TITO APCONG WATCHT LIP		- 1	2051		Surcharge - late filing fee or oath  Surcharge - late provisional filing fee or	05.00		
Account   IHOMPSON& KNIGHT LLP		٦ ا	2002		cover sheet			
Name The Director is authorized to: (check all that apply)		30	1053		Non-English specification			
Charge fee(s) indicated below Credit any overpayments			1812 2	•	For filing a request for ex parte reexamination			
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below, except for the filing fee		840*	1805	1,840*	Requesting publication of SIR after Examiner action			
to the above-identified deposit account.		110	2251	55	Extension for reply within first month			
FEE CALCULATION		420	2252	210	Extension for reply within second month			
1. BASIC FILING FEE Large Entity Small Entity		950	2253		Extension for reply within third month	490.00		
Fee Fee Fee Fee Description Fee Paid	1254 1.4	480	2254	740	Extension for reply within fourth month			
Code (\$) Code (\$)	1255 2.0	010	2255	1 005	Extension for reply within fifth month			
1001 770   2001 385   Utility filing fee   395.00   1002 340   2002 170   Design filing fee		330	2401		Notice of Appeal			
1003 530 2003 265 Plant filing fee		330	2402		Filing a brief in support of an appeal			
1004 770 2004 385 Reissue filing fee		290	2403		Request for oral hearing			
1005 160 2005 80 Provisional filing fee	1451 1,5	· 1	1451		Petition to institute a public use proceeding			
		110	2452		Petition to revive - unavoidable			
		330	2453	665	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,3	330	2501	665	Utility issue fee (or reissue)			
Extra Claims below Fee Paid	1502 4	480	2502	240	Design issue fee			
Total Claims 15 -20** = X = 1 X 14.00 44.00	1503 6	640	2503	320	Plant issue fee			
Claims 4 - 3** = 1 X 44.00 = 44.00	1460 1	130	1460	130	Petitions to the Commissioner			
Multiple Dependent		50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity   Small Entity     Fee   Fe	1806 1	180	1806		Submission of Information Disclosure Stmt			
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	ľ		
1202 18 2202 9 Claims in excess of 20	1809 7	770	2809	385	Filing a submission after final rejection			
1201 86 2201 43 Independent claims in excess of 3	1810 7				(37 ČFR 1.129(a))			
1203 290 2203 145 Multiple dependent claim, if not paid		770	2810 385		For each additional invention to be examined (37 CFR 1.129(b))			
1204 86 2204 43 ** Reissue independent claims over original patent	1801 7	770	2801	385	1 "			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 9	900	1802	900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 439.00		Other fee (specify)						
**or number previously paid, if greater; For Reissues, see above	*Reduce	d by	Basic F	filing Fo	ee Paid SUBTOTAL (3) (\$) 55	55.00		

SUBMITTED BY (Complete (if applicable)) Registration No. James J. Murphy Name (Print/Type) 34,503 Telephone (214) 969-1749 (Attorney/Agent) Date November 2, 2004 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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8 2004 St. User the Paperwork Reduction Act of 1995	U.S. P no persons are required to respond to a coll	atent and Trademark Office	ce: U.S. D	PTO/SB/21 (02-04) gh 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE				
- MIL	Application Number	10/817,452						
TRANSMITTAL	Filing Date	April 2, 200	)4					
FORM	First Named Inventor	Roger Min	kow					
(to be used for all correspondence after initial	•							
	Examiner Name							
Total Number of Pages in This Submission	Attorney Docket Number	019053.00	0112					
ENCLOSURES (Check all that apply)								
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence A  Terminal Disclaimer	Ap of Ap (Ap (Ar Production of Ap (Ar Production of	peal Coi Appeals peal Coi opeal Not oprietary	osure(s) (please				
Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Request for Refund  CD, Number of CD(s)  Remarks							
SIGNA	TURE OF APPLICANT, ATTO	RNEY, OR AGENT	r					
Firm or Individual name James J. Murphy Signature James J. Murphy Date November 2, 2004	nt LLP							
CI	RTIFICATE OF TRANSMISSI	ON/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name Dale . Ca	ann /							
Signature	Mann		Date	November 2, 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT U.S. 10/817,452

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicants: Roger Minkow, Andrew Farquharson and Robert Egger

Serial No.: 10/817,452

Filed: April 2, 2004

Title: ERGONOMIC HANDGRIP WITH MEDICAL BENEFITS

Confirm No.: 1612

Art Unit: Examiner:

NOV 0 8 2004

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sirs:

# Response to Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application mailed June 21, 2004, Applicants submit herewith Declaration for Utility or Design Application executed by each of the inventors.

A copy of the "Notice to File Missing Parts of Non-Provisional Application is enclosed along with a check in the amount of \$994.00 (395.00 filing fee, 44.00 extra independent claim fee, 65.00 late declaration surcharge and 490.00 three-month extension of time).

The Commissioner is hereby authorized to charge any fees or credit any overpayment to Deposit Account Number 20-0821 of Thompson & Knight LLP.

## • ATTORNEY DOCKET NO 019053.000112

PATENT U.S. 10/817,452

If the Examiner has any questions or comments concerning this paper or the present application in general, the Examiner is invited to call the undersigned at (214) 969 - 1749.

Respectfully submitted,

THOMPSON & KNIGHT LLP Attorneys for Applicant

By: <u>James // Inryfky</u> James J. Myrpl

Reg. No. 34,503

1700 Pacific Avenue, Suite 3300 Dallas, Texas 75201-4793 (214) 969-1749

Date: November 2, 2004